

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventors: Jean Bernard LELEU, Patrick HAON, Pierrick DUFLOT, and Philippe LOOTEN

For: MALTITOL CRYSTALS OF PARTICULAR FORMS, CRYSTALLINE
COMPOSITIONS CONTAINING THEM AND PROCESSES FOR THEIR
PREPARATION

1. **TYPE OF APPLICATION**

This new application is for a(n):

☒ Original

☐ Design

☐ Divisional

☐ Continuation

☐ Continuation-in-part (CIP)

of Serial No. 0 / _____, filed _____, by _____,
entitled _____

and is incorporated herein by reference.

2. **BENEFIT OF PRIOR U.S. APPLICATION(S) (35 USC 120)**

☐ The new application being transmitted claims the benefit of prior U.S.
application(s). Amend the specification by inserting before the first line the
sentence: This is a ☐ continuation, ☐ continuation-in-part, ☐ divisional of co-
pending application Serial No. 0 / _____, filed on _____, entitled _____

CERTIFICATION UNDER 37 C.F.R. 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herein are being
deposited with the United States Postal Service on this date _____ in an envelope as "Express Mail Post Office to
Addressee", Mailing Label Number _____ addressed to: Box Patent Application, Assistant Commissioner for
Patents, Washington, D.C. 20231.

Dated: _____

Kerilyn Conrad

3. **PAPERS ENCLOSED WHICH ARE REQUIRED FOR FILING DATE UNDER 37 CFR 1.53(b) (REGULAR) OR 37 CFR 1.153 (DESIGN) APPLICATION**

20 Pages of specification, including:

2 Pages of claims (1-10 Claims)

1 Page of Abstract

4 Sheets of photographs (Figs. 1, 2, 3 and 4) being submitted in lieu of drawings

- ☒ formal
☐ informal

4. **ADDITIONAL PAPERS ENCLOSED**

- ☐ Preliminary Amendment
☐ Information Disclosure Statement
☐ Form PTO-1449
☐ Citations
☐ Declaration of Biological Deposit
☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
☐ Special Comments
☐ Verified Statement(s)
☒ Other (Petition to Accept Photographs as Drawings under 37 C.F.R. 1.84 (b))

5. **DECLARATION OR OATH**

- ☒ Enclosed - (check all applicable boxes)
- ☐ executed by
 - ☒ unexecuted by
 - ☒ inventor
 - ☐ legal representative of inventor 37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
 - ☐ This is the petition required by 37 CFR 1.47 and the statement required by 37 CFR is also attached.
See item 13 below for fee.
 - ☐ Not Enclosed.
 - ☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of *all the above named inventors*. (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).
 - ☐ Showing that the filing is authorized. (Not required unless called into questions 37 CFR 1.41d).

6. **INVENTORSHIP STATEMENT**

The inventorship for all the claims in this application are:

- ☐ The same.

7. **LANGUAGE**

- ☒ English

8. **ASSIGNMENT**

☒ An assignment of the invention to: **ROQUETTE FRERES**

☐ is attached

☒ will follow.

9. **CERTIFIED COPY**

Certified copy(ies) of application(s)

Country France Application No. FR 97 12035 Filed: 26 September 1997
from which priority is claimed

☒ is(are) attached
☐ will follow

10. **FEE CALCULATION (37 CFR 1.16)**

A. ☒ **Regular application**

CLAIMS AS FILED

Number filed			Number Extra		Rate	Basic Fee \$790.00
<hr/>						
Total Claims	10	- 20=	0	x	\$22	\$0.00
<hr/>						
Independent Claims	1	- 3 =	0	x	\$82	\$0.00
<hr/>						
Multiple dependent claim(s), if any (37 CFR 1.16(d))			None		\$270	\$0.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment deleting multiple dependencies enclosed.
☐ Fee for extra claims is not being paid at this time.

FILING FEE CALCULATION: \$790.00

B. ☐ **Design Application**

(\$320.00-37 CFR 1.16(f))

Filing fee Calculation

\$ _____

11. **SMALL ENTITY STATEMENT(S)**

☐ Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of A or B above)

\$ _____

12. **REQUEST FOR INTERNATIONAL-TYPE SEARCH (37 CFR 1.104(d))**

☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. **FEE PAYMENT BEING MADE AT THIS TIME**

☒ Not Enclosed

☒ No filing fee is to be paid at this time. *(This and the surcharge required by 37 CFR can be paid subsequently.)*

☐ Enclosed

☐ basic filing fee..... \$

TOTAL FEES ENCLOSED

\$ _____

14. **AUTHORIZATION/METHOD OF PAYMENT OF FEES**

☐ Check in the amount of \$ _____ .

☐ The Commissioner is hereby authorized to charge the amount of \$ _____ to Deposit Account No. 08-1650. A duplicate of this transmittal is attached.

15. **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

☐ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 08-1650.

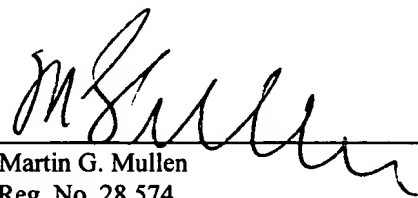
☐ 37 CFR 1.16(a), (f) or (g) (filing fees)
☐ 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)

16. **INSTRUCTIONS AS TO OVERPAYMENT**

☐ Credit Account No. 08-1650.
☐ Refund

Dated: _____

September 25, 1988



Martin G. Mullen
Reg. No. 28,574

HENDERSON & STURM
206 Sixth Avenue, Suite 1213
Des Moines, Iowa 50309-4076

Telephone No. (202) 296-3854
Telefax: (202) 223-9606

☒ **Statement Where No Further Pages Added**
☒ This transmittal ends with this page.

#51

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jean Bernard LELEU, Patrick HAON, Pierrick DUFLOT, and Philippe LOOTEN

Serial No.: Not yet assigned -
(Claiming Priority of French Appln.
No. FR 97 12035, filed September 26, 1997)

jc:542 U.S. PTO
09/160133
09/25/98

Filed: (on even date herewith)

For: **MALTITOL CRYSTALS OF PARTICULAR FORMS, CRYSTALLINE
COMPOSITIONS CONTAINING THEM AND PROCESSES FOR THEIR
PREPARATION**

CLAIM OF PRIORITY UNDER 35 USC 119

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

A formal claim for the benefit of priority of the filing date of September 26, 1997 of prior French Patent Application No. FR 97 12035, referred to in the Declaration and Power of Attorney document as required by 37 C.F.R. 1.63, is hereby requested for the above-identified application.

A certified copy of the priority document is being submitted herewith.

Acknowledgment of this Claim of Priority and the receipt of the certified copy of the priority document by the Examiner and/or the Office in the next official communication mailed from the U.S. Patent and Trademark Office, is respectfully requested.

Respectfully submitted,

Jean Bernard LELEU, Patrick HAON,
Pierrick DUFLOT, and Philippe LOOTEN

Date September 25, 1998 By: _____

Martin G. Mullen
Reg. No. 28,574

HENDERSON & STURM
206 Sixth Avenue, Suite 1213
Des Moines, Iowa 50309-4076
Telephone: (202) 296-3854
Telefax: (202) 223-9606